TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. 13768.460

In Re Application Of: **C. Shane Evans et al.**

Serial No.	Filing Date	Examiner	Group Art Unit
Unassigned	**10/10/2003**	**Unassigned**	**Unassigned**

Title: **PARENTAL CONTROLS FOR ENTERTAINMENT CONTENT**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

   ☐ the statement specified in 37 CFR 1.97(e);

   OR

   ☐ the fee set forth in 37 CFR 1.17(p).

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PARENTAL CONTROLS FOR ENTERTAINMENT CONTENT

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

☐ A check in the amount of is attached.

☒ The Director is hereby authorized to charge and credit Deposit Account No. **23-3178** as described below.

- ☐ Charge the amount of
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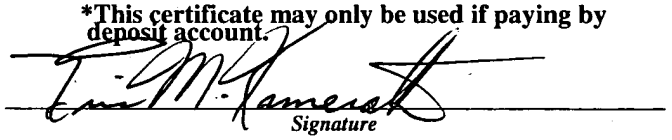

Signature

Dated: **October 10, 2003**

ERIC M. KAMERATH
Registration No. 46,081
Customer No. 022913

cc:

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)	Application Number
13768.460	Not Yet Assigned
Applicant(s)	
C. Shane Evans et al.	
Filing Date	**Group Art Unit**
October 10, 2003	

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,973,683	10/26/99	Cragun et al.	345	327	11/24/97
		6,216,228	04/10/01	Chapman et al.	713	176	10/14/97
		US 2002/0128061	09/12/02	Blanco	463	29	03/09/01

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES	Translation NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		Sile Yu et al., "An Improved Structure of Pre-Determined Parental Viewing Control Data in Software Design for ATSC Receiver", IEEE Transactions on Consumer Electronics, February 2002, p.p. 131-134.
		Leonard A. Jason et al., "Reducing Children's Television Viewing with an Inexpensive Lock", Child & Family Behavior Therapy, vol. 15(3), 1993, p.p. 45-54.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.